SUNGHOON JOO

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Department of Accounting, Finance, and Economics
California State University Dominguez Hills
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EDUCATION

AUBURN UNIVERSITY — Auburn, AL
Ph.D. in Finance, August 2019

UNIVERSITY OF ILLINOIS — Urbana-Champaign, IL
Master of Science in Finance, August 2013

CHUNG-ANG UNIVERSITY — Seoul, Republic of Korea
Bachelor of Business Administration, February 2012

ACADEMIC APPOINTMENTS

California State University Dominguez Hills
Assistant Professor of Finance — August 2019 – Present

RESEARCH INTERESTS

Financial Markets and Institutions, Corporate Finance, Corporate Social Responsibility, Mergers and Acquisitions, and Predictive Modeling

PUBLICATIONS IN JOURNALS

[12] Maretno Agus Harjoto, **Sunghoon Joo**, Sangmook Lee, and Hakjoon Song, Forthcoming, "Gambling Attitudes and Corporate Social Responsibility," *Journal of Economics and Finance*. (ABDC Rank B)

[11] Kang-Bok Lee, Yeasung Jeong, Sumin Han, **Sunghoon Joo**, Junyoung Park, Kangkang Qi, 2024, "Difference-in-Differences with Matching Methods in Leadership Studies: A Review and Practical Guide," *The Leadership Quarterly*, 101813. (ABS Rank 4 & ABDC Rank A*)

[10] Chune Young Chung, **Sunghoon Joo**, and Sanggyu Kang, 2024, "Bond-Blockholders and Corporate Acquisitions," *Corporate Governance: An International Review*, *32*(3), 391-407. (ABS Rank 3 & ABDC Rank A)

[9] Maretno Agus Harjoto, **Sunghoon Joo**, Sang Mook Lee, and Hakjoon Song, 2023, "CEO Current and Prospective Wealth Option Compensation and Corporate Social Responsibility: The Behavioral Agency Model," *Journal of Risk and Financial Management*, *17*(1), 1. (ABDC Rank B)

[8] Kang-Bok Lee, Yeasung Jeong, **Sunghoon Joo**, Yeo Song Yoon, Sumin Han, and Hyeoncheol Baik, 2022, "Outliers in Financial Time Series Data Outliers, Margin Debt, and Economic Recession," *Machine Learning with Applications*, *10*, 100420.

[7] Hyeoncheol Baik, Sumin Han, **Sunghoon Joo**, and Kang-Bok Lee, 2022, "A Bank's Optimal Capital Ratio: A Time-Varying Parameter Model to the Partial Adjustment Framework," *Journal of Banking & Finance*, *142*, 106548. (ABS Rank 3 & ABDC Rank A*)

[6] James R. Barth, **Sunghoon Joo**, and Kang-Bok Lee, 2022, "Bank-Client Cross-Ownership of Bank Stocks: A Network Analysis," *Journal of Financial Research*, *45*(2), 280-312. (ABS Rank 3 & ABDC Rank A)

[5] Hyeoncheol Baik, Sumin Han, Joonhwan In, **Sunghoon Joo**, and Kang-Bok Lee, 2020, "Unbalanced Data, Type II Error, and Nonlinearity in Predicting M&A Failure," *Journal of Business Research*, *109*, 271–287. (ABS Rank 3 & ABDC Rank A)

[4] **Sunghoon Joo**, Dong Hyun Kim, and Jung Chul Park, 2020, "Does Local Political Support Influence Financial Markets? A Study on the Impact of Job Approval Ratings of Political Representatives on Local Stock Returns," *The Financial Review*, *55*(2), 247–276. (ABS Rank 3 & ABDC Rank A)

[3] James R. Barth, Sumin Han, **Sunghoon Joo**, Kang-Bok Lee, Stevan Maglic, and Xuan Shen, 2018, "Forecasting Net Charge-Off Rates of Banks: What Model Works Best?," *Quantitative Finance and Economics*, *2*(3), 554–589.

[2] James R. Barth, Nicholas Bolden, Jitka Hilliard, and **Sunghoon Joo**, 2018, "Does Politics Contribute to Underfunded State Pension Plans?," *Journal of Regional Analysis and Policy*, *48*(3), 41–65.

[1] James R. Barth, **Sunghoon Joo**, and Kang Bok Lee, 2018, "Another Look at the Determinants of the Financial Condition of State Pension Plans," *Journal of Economics and Finance*, *42*(3), 421-450. (ABDC Rank B)

REVISE AND RESUBMIT

[1] Dual Holdings and Analysts' Forecast Properties
with Heeick Choi, Seung Won Lee, and Hakjoon Song (Under Review in *Accounting and Finance* (ABS Rank 2 & ABDC Rank A) – 1st Round Revision Invited)

WORKING PAPERS

[1] Mitigating Insider Rent Extraction: The Role of Dual Ownership in Corporate Governance
with Dong Hyun Kim, Seung Won Lee, and Hakjoon Song

[2] Refining Difference-in-Differences Methods for Operations and Supply Chain Management: Moving Beyond Econometric Model Assumptions
with Kang-Bok Lee, Yeasung Jeong, Sumin Han, Junyoung Park, Joonhwan In, and Kangkang Qi

[3] Strategic Expansion and Financial Efficiency: The Case of Intrastate Bank Holding Companies in the Post-Deregulation Era
with Min Gu and Kang-Bok Lee

[4] Unveiling Market Dynamics: Improved Methods for Analyst Earnings Forecasts
with Min Gu, Yeasung Jeong, and Kang-Bok Lee

[5] Forecasting Success: How Family Social Status Shapes Analyst Performance in Financial Markets
with Tri Trinh and Jennifer Brodmann

PUBLICATIONS IN BOOKS

[2] James R. Barth, **Sunghoon Joo**, Hyeongwoo Kim, Kang-Bok Lee, Stevan Maglic, and Xuan Shen, 2020, "Forecasting Net Charge-Off Rates of Banks: A PLS Approach." In *Handbook of Financial Econometrics, Mathematics, Statistics, and Machine Learning*, World Scientific.

[1] James R. Barth, Jahera Jr., and **Sunghoon Joo**, 2017, "The State of Pension Plans: Challenges Ahead," *The Most Important Concepts in Finance*, edited by Benton E. Gup, Edward Elgar Publishing: UK.

TEACHING

CALIFORNIA STATE UNIVERSITY DOMINGUEZ HILLS

- Business Finance (FIN 360)
    - *Fall 2019* - Fall *2024*
- Financial Analysis (FIN 382)
    - *Fall 2021* - Fall *2024*
- Financial Institutions Management (FIN 481)
    - *Fall 2019* - Fall *2024*

AUBURN UNIVERSITY

- Advanced Business Finance (FINC 3630)
    - *Spring 2017 and Spring 2018*
- Financial Markets and Institutions (FINC 3700)
    - *Fall 2016 and Fall 2017*

CONFERENCE PRESENTATIONS

2023: Financial Management Association
2022: The Joint Meeting of the Mid-Atlantic and Northeast Regions
2021: Southern Finance Association
2018: Southern Finance Association
2017: Southwestern Finance Association and American Society for Public Administration
2016: Financial Management Association and Southern Finance Association

HONORS AND AWARDS

IGP Grant, Project on State Public Pension Plan ($46,400), Auburn University	2017 – 2019
Vam York College of Business Fellowship ($2,000), Auburn University	2018
Graduate Research and Travel Fellowship ($1,000), Auburn University	2016 – 2018

TECHNICAL SKILLS

STATA (Excellent) and R (Excellent)