



# Institutional Review Board (IRB)

OFFICE OF GRADUATE STUDIES AND RESEARCH

INNOVATION AND INSTRUCTION BUILDING, SUITE #3100

# CSUDH IRB Team



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Institutional Official- Dean Sheree Schrager

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Research Compliance Officer- Judy Aguirre

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Research Compliance Assistant- Alyanna Paulino

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IRB Chair- Dr. Susan Einbinder

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IRB Members (From various disciplines across campus)

What is the **purpose** of an IRB?

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The sole purpose of an IRB is to protect the **rights** and **welfare** of human study participants.

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# Historical Context

- ▶ Historically, the rights of human subjects were violated.
  - The Nazi Experiments
  - Tuskegee Syphilis Study
- ▶ IRBs help **restore** the community's trust in science and research.

# The Belmont Principles

## Respect

- Individuals need to be treated as autonomous agents, and those with diminished autonomy are entitled to protection. (Voluntary consent geared toward the target study participant population.)

## Beneficence

- Minimize harm, maximize potential benefits for participating in research. (Potential benefits are maximized and potential risks are minimized.)

## Justice

- Equitable distribution of risks and benefits. (Risks and benefits are distributed equitably among study participants.)

# Regulatory Information

- ▶ Ethics (Belmont Principles)
- ▶ Federal Regulations
  - ▶ Title 45 Public Welfare Department of Health and Human Services Part 46 Protection of Human Subjects (**Common Rule**)
  - ▶ **Additional Protections Separate from the Common Rule**
  - ▶ Subpart B – Pregnant Women, Human Fetuses and Neonates
  - ▶ Subpart C – Prisoners
  - ▶ Subpart D – Children
- ▶ Local regulations
- ▶ State regulations
- ▶ University policies

# HOW TO SUBMIT A PROTOCOL

- ▶ Protocols are submitted on an online IRB system called Cayuse.
- ▶ You will complete the New Submission Template word document.
- ▶ The templates for consent documents can be found on the IRB homepage. <https://www.csudh.edu/gsr/research/research-compliance/irb/>
- ▶ Your instructor will then review and input your protocol and upload requisite attachments in Cayuse.

# Section A: Study Personnel

- ▶ All study team members are required to complete the human subjects training on CITI before a submission will be reviewed.
- ▶ Be sure to list the faculty member as the PI.
- ▶ Students must create a document that lists their **names**, **email addresses**, and **department** for upload into this section.



# Section A:

## Study Personnel continued

- On-campus sites are **not** required to have a permission letter to conduct research activities.
- A permission letter or proof of permission to conduct research is required for:
  - Any **off-campus** site
  - Any CLOSED group on social media
- Permission must be obtained by an authorized person.

# Section B: Research Objectives and Background

- ▶ Describe your research so people in different fields of study can understand your study objectives.
- ▶ Be sure to check for grammar, spelling, and typographical errors.

# Section D: Participants, Recruitment, and Compensation

- ▶ Inclusion and exclusion criteria
- ▶ Your recruitment material (e.g. fliers) must have a 1.25" margin on the bottom for the IRB stamp.
  - ▶ CSUDH email address is required on recruitment material.

# Section E: Study Methods and Procedures

- ▶ In this section, you will describe:
  - ▶ The study design and methodology
  - ▶ Sample size calculation
  - ▶ How you will analyze data
  - ▶ Any questionnaires or instruments used in this study will be attached to this section.

# Section F: Informed Consent

- ▶ Types of consent for adult participants:
  - ▶ Written/signed consent
  - ▶ Information sheet
  - ▶ Waiver of consent
- ▶ Templates are provided by the IRB for consent documents.
  - ▶ Take your participants' **reading comprehension level** into consideration when drafting your documents.

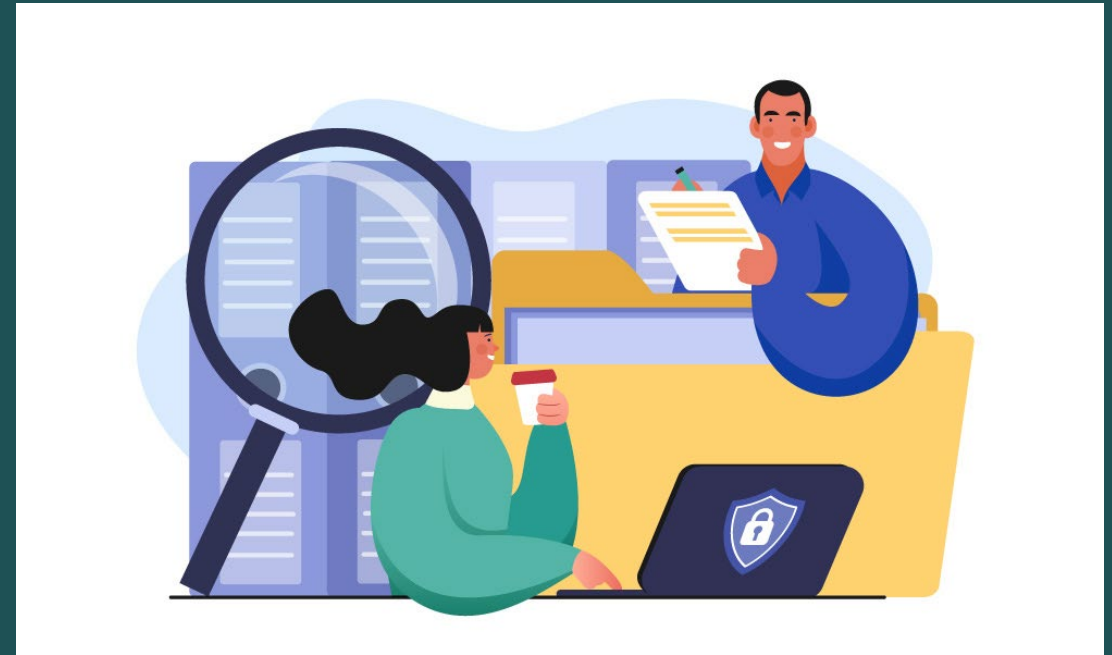


# Section G: Risk and Benefit Assessment

- ▶ Risks in socio-behavioral studies can be **less obvious** than in medical studies.
  - ▶ Evaluate study risks mindfully.
  - ▶ Risks can be subjective and relevant to the subject population.
- ▶ Do not overstate benefits.

# Section H: Privacy and Confidentiality

- ▶ Privacy:
  - ▶ a participant's ability to control how other people see, touch or obtain information about them.
- ▶ Types of Confidentiality:
  - ▶ Identifiable
  - ▶ Coded
  - ▶ Anonymous



# Section I: Conflicts of interest (COI)

- ▶ Two types of conflict of interest:
  - ▶ General
    - ▶ Pre-existing personal, professional, or social relationship may create an appearance of a conflict of interest.
  - ▶ Financial



# Section J: Researcher Qualifications

- ▶ Be sure to include prior research and training for all study team members, including the study PI.

# Section K: Additional Documentation

- Attach additional documentation not requested elsewhere in Cayuse (e.g. site permissions).
- Please **do not** attach documents that are required in other Cayuse sections (Survey instruments, recruitment materials etc.)
- Make sure that the training you upload is the "**Socio-Behavioral Learners**" module certificate from CITI.

# Outcome of a study proposal review

- ▶ Approved

OR

- ▶ Returned to the study team for changes, clarification, or a request for additional documents.



# Modifications

- ▶ You are required to submit a modification when you are making **changes** to your study that are **different** from what you indicated on your initial submission.
  - ▶ For example:
    - ▶ Adding a recruitment site
    - ▶ Changing how you will analyze the data
    - ▶ Increasing your sample size



# General Tips

- ▶ Ensure that there is **consistency** throughout your proposal.
- ▶ Double-check for any typos, grammar, or spelling errors.
- ▶ Ensure that you receive permission from sites as appropriate and that these documents are attached as part of section K.



Additional Questions?

Website: <https://www.csudh.edu/gsr/research/research-compliance/irb/>

Email: **IRB@csudh.edu**

## Resources